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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Robert S. Block, Gary Gannon, Uday Kothari, Jonathan Lau, and Frederic Chapus

Application No./Patent

No./Control No.: 6,947,947

Filed/Issue Date: September 20, 2005

Entitled: METHOD FOR ADDING METADATA TO DATA

UBmatrix, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: the inventors To: Universal Business Matrix, LLC  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: Universal Business Matrix, LLC To: UBmatrix, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

**As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.**

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

September 12, 2006  
\_\_\_\_\_  
Date

M. David Ream - Reg. No. 35,333  
\_\_\_\_\_  
Printed or Typed Name

(206) 262-8900  
\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Attorney for Assignee  
\_\_\_\_\_  
Title

## JOINT ASSIGNMENT

THIS ASSIGNMENT, by Frederic Chapus, Robert S. Block, Gary Gannon, Uday Kothari and Jonathan Lau,

residing at (respectively): 2069 Ridge Point Drive, Los Angeles, California 90049 U.S.A.; 2695 Spearpoint Drive, Reno, Nevada 89509 U.S.A.; P.O. Box 1817, Windsor, California 95492 U.S.A.; Compulink House, 16-B Rajpath Society, Paud Road, Pune MH 411038 India; and Ma On Shan, Hong Kong

(hereinafter referred to as "the Assignors"), witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in the application for Letters Patent of the United States, entitled:

METHOD FOR ADDING METADATA TO DATA

- (1) ☐ which is a provisional application
- (a) ☐ bearing Application No. \_\_\_\_\_, filed on \_\_\_\_\_;
- (b) ☐ to be filed herewith; or
- (2) ☒ which is a non-provisional application
- (a) ☒ bearing Application No. 10/086,522, filed on 04 March 2002;
- (b) ☐ having an oath or declaration executed on even date herewith prior to filing of application;
- (c) ☐ having an oath or declaration executed on a different date than this Assignment; and

WHEREAS, Universal Business Matrix, LLC,  
a corporation duly organized under and pursuant to the laws of Nevada,  
and having its principal place of business at 803 Kirkland Avenue, Suite 200, Kirkland, Washington  
98033-6318 U.S.A.

(hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made:

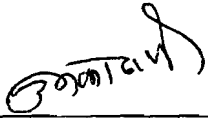
AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of Burns, Doane, Swecker & Mathis, L.L.P. to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.


AND the Assignors hereby request the Director of the United States Patent and Trademark Office to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

AND the Assignors hereby request the Director of the United States Patent and Trademark Office to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Date: <u>23<sup>rd</sup> SEPTEMBER 06,</u>	Signature of Assignor <u></u>	<u>Uday Kothari</u>
Date: _____	Signature of Assignor _____	<u>Frederic Chapus</u>
Date: _____	Signature of Assignor _____	<u>Robert S. Block</u>
Date: _____	Signature of Assignor _____	<u>Gary Gannon</u>
Date: _____	Signature of Assignor _____	<u>Jonathan Lau</u>
Date: _____	Signature of Assignor _____	_____
Date: _____	Signature of Assignor _____	_____
Date: _____	Signature of Assignor _____	_____
Date: _____	Signature of Assignor _____	_____

AND the Assignors hereby request the Director of the United States Patent and Trademark Office to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Date: \_\_\_\_\_ Signature of Assignor Uday Kothari

Date: 09/17/04 Signature of Assignor   
Frederic Chapus

Date: \_\_\_\_\_ Signature of Assignor Robert S. Block

Date: \_\_\_\_\_ Signature of Assignor Gary Gannon

Date: \_\_\_\_\_ Signature of Assignor Jonathan Lau

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

AND the Assignors hereby request the Director of the United States Patent and Trademark Office to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Uday Kothari

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Frederic Chapus

Date: 9/17/04 Signature of Assignor \_\_\_\_\_  
Robert S. Block

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Gary Gannon

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Jonathan Lau

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

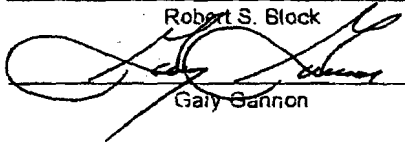
Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Application No. 10/036,522  
Attorney Docket No. 033401-001

AND the Assignors hereby request the Director of the United States Patent and Trademark Office to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Date: _____	Signature of Assignor _____	Uday Kothari
Date: _____	Signature of Assignor _____	Frederic Chapus
Date: _____	Signature of Assignor _____	Robert S. Block
Date: <u>9/17/04</u>	Signature of Assignor _____	 Gary Gannon
Date: _____	Signature of Assignor _____	Jonathan Lau
Date: _____	Signature of Assignor _____	
Date: _____	Signature of Assignor _____	
Date: _____	Signature of Assignor _____	
Date: _____	Signature of Assignor _____	
Date: _____	Signature of Assignor _____	

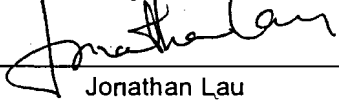
AND the Assignors hereby request the Director of the United States Patent and Trademark Office to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Uday Kothari

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Frederic Chapus

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Robert S. Block

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_  
Gary Sannon

Date: Sept. 26, 2004 Signature of Assignor \_\_\_\_\_  
  
Jonathan Lau

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor \_\_\_\_\_



**ASSIGNMENT**  
(Company to Company)

WHEREAS Universal Business Matrix, LLC, a corporation organized under the laws of Nevada, located at 803 Kirkland Avenue, Suite 200, Kirkland, WA 98033-6318, (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to it by

**UBmatrix, Inc.**

a corporation organized under the laws of Washington, located at 803 Kirkland Avenue, Suite 200, Kirkland, WA 98033-6318, (hereinafter "ASSIGNEE"), does hereby sell and assign to the said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest

☐ in and for the United States of America only, or

☒ throughout the world,

in and to the Inventions identified in annexed SCHEDULE A

and all patents, divisions, reissues, continuations and any extensions thereof and rights of priority therein, said interest being

☒ its entire ownership interest

☐ an undivided \_\_\_\_ percent of its entire ownership interest

in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR if this assignment and sale had not been made;

And for the consideration aforesaid, ASSIGNOR hereby covenants and agrees to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, ASSIGNOR will, through an authorized representative, have all papers and drawings signed, have all rightful oaths and affidavits taken, and have all acts done that are necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will, through an authorized representative, have done all acts necessary or required to secure in said ASSIGNEE, its successors and assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense and ASSIGNOR hereby ap-

points every present or future officer of said ASSIGNEE as its agent to sign all such papers and to do all such necessary acts on its behalf, to the fullest extent permitted by law;

And ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date no later than the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I am an officer of the above-identified ASSIGNOR, that I have signed this document on behalf of ASSIGNOR with the full authority of its board of directors, and that all of the foregoing is true and correct.

Dated:

8/3/2006

By:

George Blackstone

Title: Manager

**Schedule A**

**Issued U.S. Patents:**

<b>Patent No.</b>	<b>Title</b>	<b>Dates</b>
6,947,947	METHOD FOR ADDING METADATA TO DATA	Filed – 3/4/2002 Issued – 9/20/2005

**Pending U.S. Patent Applications:**

<b>Application No.</b>	<b>Title</b>	<b>Dates</b>
11/051,327	DATA AND METADATA LINKING FORM MECHANISM AND METHOD	Filed – 2/7/2005
11/097,238	METHOD FOR ADDING METADATA TO DATA	Filed – 4/4/2005
10/996,449	METHOD FOR ASSISTING IN AUTOMATED CONVERSION OF DATA AND ASSOCIATED METADATA	Filed – 11/26/2004